# Fundamental Computer Science Sequence 1: Turing Machines 

MoSIG-M1Info, 2021

February 1, 2021

## Aim and content

We present in detail the classical computational model of Turing Machine.

The objective is to understand the basic mechanisms and to learn the underlying formalism.

## Description of the Turing Machine

- memory: an infinite tape
- initially, it contains the input string
- move the head left or right
- read and/or write to current cell
- control (transition table)
- finite number of states
- one current state
- At each step:
- move from state to state
- read/write or move Left/Right in the tape





## Turing machine: formal definition

A Turing Machine $(M)$ is a six-tuple $(K, \Sigma, \Gamma, \delta, s, H)$, where

- $K$ is a finite set of states
- $\Sigma$ is the input alphabet not containing the blank symbol $\sqcup$
- $\Gamma$ is the tape alphabet, where $\sqcup \in \Gamma$ and $\Sigma \subseteq \Gamma$
- $s \in K$ : the initial state
- $H \subseteq K$ : the set of halting states
- $\delta$ : the transition function from $(K \backslash H) \times \Gamma$ to $K \times(\Gamma \cup\{\leftarrow, \rightarrow\})$


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In general, $\delta(q, a)=(p, b)$ means that when $M$ is in the state $q$ and reads $a$ in the tape, it goes to the state $p$ and

- if $b \in \Sigma$, writes $b$ in the place of $a$
- if $b \in\{\leftarrow, \rightarrow\}$, moves the head either Left or Right



## A first example (2 representations)

Consider the Turing Machine $M=(K, \Sigma, \Gamma, \delta, s, H)$ where

$$
K=\left\{q_{0}, q_{1}, h\right\}, \quad \Sigma=\{a\}, \quad \Gamma=\{a, \sqcup\}, \quad s=q_{0}, \quad H=\{h\},
$$ and $\delta$ is given by the table. How does $M$ proceed?

| $q$ | $\sigma$ | $\delta(q, \sigma)$ |
| :---: | :---: | :---: |
| $q_{0}$ | $a$ | $\left(q_{1}, \sqcup\right)$ |
| $q_{0}$ | $\sqcup$ | $(h, \sqcup)$ |
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## Formalize the notation

## Definition

A configuration of a Turing Machine $M=(K, \Sigma, \Gamma, \delta, s, H)$ is a member of $K \times \Gamma^{*} \times \Gamma^{*}((\Gamma \backslash\{\sqcup\}) \cup\{\epsilon\})$.

- informally: a triplet describing
- the current state
- the contents of the tape at the left of the head (including head's position)
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Initial configuration: $(s, \underline{a} w)$ where $M=(K, \Sigma, \Gamma, \delta, s, H)$ is a Turing Machine, $a \in \Sigma, w \in \Sigma^{*}$ and $a w$ is the input string

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Halted configuration: a configuration whose state belongs to $H$

- example: $(h, \sqcup \sqcup \sqcup \sqcup, \epsilon)$ or simply $(h, \sqcup \sqcup \sqcup \sqcup)$ or simply $(h, \sqcup)$


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Consider a Turing Machine $M$ and two configurations $C_{1}$ and $C_{2}$ of $M$. If $M$ can go from $C_{1}$ to $C_{2}$ in a single step, then we write

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A computation of a Turing Machine $M$ is a sequence of configurations $C_{0}, C_{1}, \ldots, C_{n}$, for some $n \geq 0$, such that

$$
C_{0} \vdash_{M} C_{1} \vdash_{M} C_{2} \vdash_{M} \ldots \vdash_{M} C_{n}
$$

The length of the computation is $n$ (or it performs $n$ steps).

## Turing Machines and automata

Turing Machines are (augmented) finite states automata.
Not detailed here
see the following link to get an idea of the powers.
http://www.jflap.org/

## Determinism or not?

Implicitly, the transition $\delta$ is deterministic.

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## Non-deterministic Turing Machine

What happens if several outputs are allowed at each step?

The choice is among $k$ fixed possibilities, random, round-robin, etc.

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## Non-deterministic Turing Machine

What happens if several outputs are allowed at each step?

The choice is among $k$ fixed possibilities, random, round-robin, etc.
This point is important and it will be detailed in a separate lecture.

## A more general notation for Turing Machines



Turing Machine $L_{a}=(K, \Sigma, \Gamma, \delta, s, H)$ where:

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\begin{aligned}
& -K=\left\{q_{0}, q_{1}\right\} \\
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- Define similar simple Turing Machines
- examples: $L, R, L_{a}, R_{a}, L^{2}, R^{2}, a$, $\sqcup$, etc.


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- $H=\left\{q_{1}\right\}$
- Define similar simple Turing Machines
- examples: $L, R, L_{a}, R_{a}, L^{2}, R^{2}, a$, $\sqcup$, etc.
- Combine simple machines to construct more complicated ones

1. Run $M_{1}$

| $M_{3}$ | 2. If $M_{1}$ finishes and the head reads $a$ then run $M_{2}$ <br> starting from this $a$ |
| :--- | :--- |
| $\prod_{1} \xrightarrow{a} M_{2}$ | 3. Else run $M_{3}$ starting from this $b$ |

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What is the goal of the following Turing Machine?


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>L_{\sqcup} \rightarrow \stackrel{\downarrow}{\substack{\downarrow \\ \\ R_{\sqcup}}}
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```
(\sqcupabc\sqcup) \vdash**
    \vdashM
    \vdashM
    \vdash}\mp@subsup{}{M}{*}\quad(\sqcup\sqcupbc\sqcup\sqcup) ( (R) 
    \vdashM
    \vdash}\mp@subsup{}{M}{*}(\sqcup\sqcupbc\sqcupa)\quad(\mp@subsup{L}{\sqcup}{2}
    \vdash
    \vdash
```


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```
\((\sqcup a b c \sqcup) \quad \vdash_{M}^{*}(\underline{\bigsqcup} a b c \sqcup) \quad\left(L_{\sqcup}\right)\)
    \(\vdash_{M} \quad(\sqcup \underline{a} b c \sqcup) \quad(R)\)
    \(\vdash_{M} \quad(\sqcup \sqcup b c \sqcup) \quad(\sqcup)\)
    \(\vdash_{M}^{*} \quad(\sqcup \sqcup b c \sqcup \underline{\square}) \quad\left(R_{\sqcup}^{2}\right) \quad\) Solution:
    \(\vdash_{M}(\sqcup \sqcup b c \sqcup \underline{a}) \quad(a) \quad\) transforms \(\sqcup w \sqcup\) to \(\sqcup w \sqcup w \sqcup\)
    \(\vdash_{M}^{*} \quad(\sqcup \sqcup b c \sqcup a) \quad\left(L_{\sqcup}^{2}\right)\)
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    \(\vdash_{M} \quad(\sqcup a \underline{b} c \sqcup a) \quad(R)\)
```


## Behind the power...

Why do we need so formal descriptions?

- Precision avoids ambiguity
- The finest grain is required
- The hierarchical decomposition is useful


## Generalize more the notation

High-level description

- give an algorithmic description of how the Turing Machine works in finite and discrete steps
- what is allowed?


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## Example

$M=$ "On input $w$ :

1. scan the input from left to right to be sure that it is of the form $a^{*} b^{*} c^{*}$ and reject if not
2. find the leftmost $a$ in the tape and if such an $a$ does not exist, then

- scan the input for a $c$ and if such a $c$ exists then reject else accept

3. replace $a$ by $\hat{a}$
4. scan the input for the leftmost $b$ and if such a $b$ does not exist, then restore all $b$ 's (replace all $\hat{b}$ by $b$ ) and goto 2
5. replace $b$ by $\hat{b}$
6. scan to the right for the first $c$ and if such a $c$ does not exist, then reject
7. replace $c$ by $\hat{c}$ and goto $4 "$

## Generalize more the notation

High-level description

- give an algorithmic description of how the Turing Machine works in finite and discrete steps
- what is allowed? almost everything!


## Example

$$
L=\left\{a^{i} b^{j} c^{k}: i \times j=k\right\}
$$

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## Definitions

Consider a Turing Machine $M=(K, \Sigma, \Gamma, \delta, s, H)$ such that $H=\{y, n\}$.
Any halting configuration whose state component is $y$ (for "yes") is called an accepting configuration, while a halting configuration whose state component is $n$ (for "no") is called a rejecting configuration.

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We say that $M$ recognizes (or semidecides) a language $L \subseteq \Sigma^{*}$ if for any string $w \in \Sigma^{*}: w \in L$ if and only if $M$ accepts $w$.

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A language $L$ is called Turing-recognizable (or recursively enumerable) if there is a Turing Machine that recognizes it.

## Basic theorems

Theorem
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## Theorem

If a language $L$ is decidable, then its complement $\bar{L}$ is also.

## Proof.

$$
\delta^{\prime}(q, a)= \begin{cases}n & \text { if } \delta(q, a)=y \\ y & \text { if } \delta(q, a)=n \\ \delta(q, a) & \text { otherwise }\end{cases}
$$

## More definitions

Consider a Turing Machine $M=(K, \Sigma, \Gamma, \delta, s,\{h\})$ and a string $w \in \Sigma^{*}$. Suppose that $M$ halts on input $w$ and for some $y \in \Sigma^{*}$ we have

$$
(s, \underline{\sqcup} w) \vdash_{M}^{*}(h, \underline{\sqcup} y)
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Then, $y$ is the output of $M$ on input $w$ and is denoted by $M(w)$.

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A function $f$ is called decidable (or recursive) if there is a Turing Machine that computes it.

## Extension of the Turing Machine

The natural extension:

- write in the tape and move left or right at the same time
- modify the definition of the transition function

$$
\begin{aligned}
& \text { initial: from }(K \backslash H) \times \Gamma \text { to } K \times(\Gamma \cup\{\leftarrow, \rightarrow\}) \\
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- if the extended Turing Machine halts on input $w$ after $t$ steps, then the initial Turing Machine halts on input $w$ after at most $2 t$ steps


## Discussion

- We can even combine some extensions and still not get a stronger (more powerful) model


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- We can even combine some extensions and still not get a stronger (more powerful) model
- Observation: a computation in the prototype Turing Machine needs a number of steps which is bounded by a polynomial of the size of the input and of the number of steps in any of the extended model

